冠力國際
GRANDLY

根據《證券及期貨條例》就第 1 類及第 2 類受規管活動獲發牌的持牌法團 CE 編號 BDB792
A corporation licensed for Type 1 & Type 2 regulated activities under the Securities and Future Ordinance with CE No. BDB792

冠力金融服務有限公司
Grandly Financial Services Limited
香港灣仔灣仔道 83 號 16 樓
16/F, 83 Wan Chai Road, Wan Chai, Hong Kong
電話 Tel: (852) 2768-3800 傳真 Fax: (852) 2768-3808

更改投資及財務資料
Change of Investment and Financial Information

日期 (日/月/年) Date (dd/mm/yyyy)	__ __ / __ __ / __ __ __ __

● 客戶資料 Client Information

*帳戶號碼 Account Number	
*帳戶名稱 Account Name (大寫字母) (Capital Letters)	

指示 Instructions:

1. 請將本表格傳真至以下傳真號碼或電郵至以下電郵地址。在截數時間(即香港工作天時間 15:00)後或是在星期六、日及公眾假期遞交的客戶指示將於隨後的一個工作天辦理。
Please fax or e-mail this form to the fax no. or e-mail address below. Requests made after the cut-off time (i.e. 15:00 HK time on a working day) or on a Saturday, Sunday and public holiday will be processed on the next working day.

傳真 Fax : (852)2768-3808 電郵 E-mail : ops.service@grandlyifg.com

2. 本行一般將於收到客戶已填妥並簽署的此申請表後三個工作天內處理客戶的申請。
Your request will normally be processed within 3 working days after our receipt of this form duly completed and signed.

*生效日期 Effective Date	__ __ / __ __ / __ __ __ __ (日/月/年 dd/mm/yyyy)		
聯名帳戶之指示 Instruction for Joint Account	以下更改資料適用於此聯名客戶 Please apply the following change(s) to this Joint Client:	姓名 Name	

● 財政狀況 Financial Situation

流動資產 (HKD) Liquid Assets (HKD)	☐ <HKD100,000 ☐ HKD100,000 - HKD500,000 ☐ HKD500,001 - HKD1,000,000 ☐ HKD1,000,001 - HKD5,000,000 ☐ >HKD5,000,001 - HKD10,000,000	資產淨值 (HKD) Net Worth (HKD)	☐ <HKD100,000 ☐ HKD100,000 - HKD500,000 ☐ HKD500,001 - HKD1,000,000 ☐ HKD1,000,001 - HKD5,000,000 ☐ >HKD5,000,001 - HKD10,000,000
年薪 (HKD) Annual Income (HKD)	☐ <HKD100,000 ☐ HKD100,000 – HKD200,000 ☐ HKD200,001 – HKD500,000 ☐ HKD500,001 - HKD1,000,000 ☐ >HKD1,000,000	住屋業權 Ownership of residence	☐ 擁有 Owned ☐ 已抵押 Mortgaged ☐ 沒有抵押 Not Mortgaged ☐ 沒有 Not Owned ☐ 租住 Rented ☐ 與家人同住 Living with family

資金來源 Source of Funds	投資所得 Investment	☐ 投資買賣 Investment Trading ☐ 銀行利息 Bank Deposit ☐ 其他 Others: ________
	租金收入 Rental	☐ 物業 Property ☐ 其他 Others: ________
	儲蓄 Saving	☐ 工作收入 Salary ☐ 生意 Business ☐ 家庭 Family ☐ 其他 Others: ________
	借貸 Loan	☐ 金融機構 Financial Institution ☐ 親戚 Relatives ☐ 其他 Others: ________
	其他 Others	________

* 此欄必需填寫 Mandatory Fields

● 投資目標 Investment Objective

☐ 賺取收入 Generating Income ☐ 投機 Speculation ☐ 對沖 Hedging

☐ 資本增長 Capital Appreciation ☐ 其他(請註明) Others(please specify): ____________________

● 投資經驗(年數) Investment Experience Year(s)

☐ **上市證券** Listed Securities ( )

☐ **期貨或期權** Futures or Options ( )

☐ **槓桿式外匯** Leveraged Foreign Exchange ( )

☐ **單位信託基金或債券** Unit Trusts or Bond ( )

☐ **其他** Others:____________________ ( )

● 對衍生產品之認識 Derivative Products Knowledge

☐ 本人了解衍生產品的性質和風險
I UNDERSTAND the nature and risks of derivative products due to

☐ 本人對衍生產品全無認識
I have NO knowledge of derivative products

1. 曾參與有關培訓或課程:
Attending relevant training or attending course

☐ 監管機構 Regulatory Authority ☐ 交易所 Exchange

☐ 金融機構 Financial Institution ☐ 教育機構 Education Institute ☐ 大專院校 Tertiary Institution

2. 於金融機構擁有有關的工作經驗:
Gaining relevant work experience in financial institution(s)

☐ 經紀公司或銀行 Brokerage Firm or Bank ☐ 基金或資產管理公司 Fund House or Asset Management Firm ☐ 管理層 Management

☐ 監管機構或交易所 Regulatory Authority or Exchange ☐ 受監管持牌人士 Regulated Licensed Person ☐ 與衍生工具相關後勤 Derivatives Related Back Office

3. 於過去三年內進行五次或以上有關衍生產品的交易(不論是否在交易所進行交易)
Executing five or more transactions in derivative products (whether traded on an exchange or not) within the past three years

☐ 是 Yes ☐ 否 No

客戶簽署
Client Signature(s)

X

(所有聯名客戶必須簽署 All account holders of joint account must sign jointly.)

S.V.

簽署人姓名 Signatory Name(s)	

FOR OFFICE USE ONLY 此部分由本公司填寫

Confirmed & Signed by CSO	Approved by RO	Updated by OPP	Checked by OPP
Name: Date:	Name: Date:	Name: Date:	Name: Date:

✱ 此欄必需填寫 Mandatory Fields